

Fully Authorised Agent (FAA) and Fully Authorised Introducer (FAI) APPLICATION FORM

To be a sub-agent or introducer of Geo Underwriting Services Limited ('the Company').

This application form is intended only for all companies directly authorised by the Financial Conduct Authority (FCA) who are applying to become Sub Agents or Introducers of the Company.

In connection with this application to become a sub-agent, please provide the following:

- 1. A copy of your latest Professional Indemnity Insurance Certificate and confirmation of your policy excess.**
- 2. A copy of your latest GABRIEL return or a copy of the financial information sent to the FCA as part of the new start-up application.**

Upon completion of the form, please return to: underwriting_governance@geounderwriting.com

PLEASE NOTE

We will be unable to process your application without a copy of both of these documents.

Section 1 - Application Form

1. Name:

2. Trading Name:

3. Company Registration Number (if applicable)

4. FCA Registration Number

5.a. Trading Address:

Address:

Contact Number:

5.b. Registered Office:

Address:

Contact Number:

6. Email Address:

7. Principal Business Activity:

8. Primary Contact Name:

Please only answer Question 9 if you are applying to be a Fully Authorised Agent (FAA).

9.a. Are you authorised by the FCA to hold Client Money?

Yes

No

9.b. Is Client Money in a bank account in the format of a Statutory or Non-Statutory trust?

Yes

No

10.a. Are you registered with the Information Commissioners Office (ICO)? Yes No

10.b. ICO Registration Number:

11. Additional Branches that require an Agency (please include full address, contact number, email address):

12. Geo Underwriting Services Limited products you wish to access:

13. Indicate the level of GWP you anticipate providing to the company in the first year of trading:

£

Section 2 - Declaration (please answer with 'Yes' or 'No'. If you answer 'No' to any question, please provide details in the boxes provided).

1. Has Any Director, Partner, Proprietor or Manager Personally or by Association had:

- a. An agency with a carrier, MGA or other Third Party refused or cancelled for any reason other than lack of support? Yes No
- b. Disciplinary proceedings by any Professional Body? Yes No
- c. Any Criminal Offences (other than motoring) not regarded as spent under the Rehabilitation of Offenders Act 1974? Yes No
- d. County Court Judgement or Order? Yes No

- e. Adjudged Bankrupt, subject to receiving order, entered into an agreement with creditor or been involved with any business that has gone into liquidation or has any such matter pending? Yes No
 If yes, please provide full details:

- 2.a. You confirm that the Information provided is true and that all relevant Information has been disclosed? Yes No

- 2.b. You agree to us / the Company conducting credit checks will be undertaken as part of the application process? Yes No

- 2.c. You agree that all the documents requested in connection with this application are attached? Yes No

- 2.d. Should this application be successful, you agree to adhere to and be bound by the Company's terms and conditions as specified in the TOBA? Yes No

Name

Position

Signature

Date